

**IN THE UNITED STATES DISTRICT COURT
FOR THE WESTERN DISTRICT OF NORTH CAROLINA
Statesville Division**

UNITED STATES OF AMERICA,

v.

GREG E. LINDBERG, *et al.*,

Defendants.

No. 5:19-cr-22-MOC

GREG E. LINDBERG'S SECOND AMENDED EXHIBIT LIST

Ex. No.	Document Date	Description	Identified By	Admitted
1	2/10/2016	Email from J. Obusek to FAWG + Attachments		
2	12/31/2016	Statement of Financial Conditions		
3	3/6/2017	Email from H. Kaplan to L. Frazier		
4	4/7/2017	Email from H. Kaplan to L. Frazier		
5	4/28/2017	Letter from G. Lindberg to M. Causey		
6	5/29/2017	Email from L. Frazier to M. Causey		
7	6/8/2017	Meeting invitation		
8	6/21/2017	Email from L. Belo to K. Xu + Attachments		
9	6/23/2017	June 23, 2017 Email from L. Belo to J. Obusek		
10	6/28/2017	June 28, 2017 Meeting invitation		
11	7/14/2017	Email from L. Belo to G. Lindberg, R. Martinez, and L. Hensley		
12	8/1/2017	Email from R. Martinez to G. Lindberg + Attachment		
13	8/14/2017	Letter from J. Obusek to L. Belo		

Ex. No.	Document Date	Description	Identified By	Admitted
14	8/14/2017	Email from J. Obusek to G. Lindberg		
15	10/16/2017	Email from L. Belo to B. Stewart		
16	11/17/2017	Email From J. Obusek to L. Hensley		
17	11/17/2017	Email from R. Martinez to G. Lindberg		
18	11/18/2017	Email from G. Lindberg to L. Hensley		
19	11/21/2017	Check		
20	11/21/2017	Email from Gregory Lindberg to Ray Martinez + Attachments		
21	12/1/2017	Email from G. Lindberg to J. Obusek, M. Causey, Osborne, R. Martinez, L. Hensley, and L. Belo		
22	12/1/2017	Email from G. Lindberg to various NCDOJ employees		
23	12/1/2017	Email from Ray Martinez to G. Lindberg		
24	January 2018 through October 2018	Call Log of phone calls between M. Causey and Agent Scherger		
25	January 2019 through February 2019	Call Log of phone calls between M. Causey and Agent Scherger		
26	January 2018 through October 2018	Text Messages Chart between M. Causey and Agent Scherger		
27	December 2018 through February 2019	Text Messages Chart between M. Causey and Agent Scherger		
28	5/16/2018	Check		
29	6/8/2018	Check		
30	6/8/2018	Check		

Ex. No.	Document Date	Description	Identified By	Admitted
31	6/29/2018	Email from G. Lindberg to R. Perkins		
32	6/29/2018	Email from G. Lindberg to R. Perkins and T. Edwards		
33	6/29/2018	Email from G. Lindberg to R. Perkins and T. Edwards		
34	6/29/2018	Email from R. Perkins to G. Lindberg		
35	7/2/2018	Email from J. Hoomani to L. Hensley		
36	7/10/2018	Letter from G. Lindberg to New York Department of Financial Services		
37	8/10/2018	August 10, 2018 Email from M. Osborne to L. Hensley		
38	8/27/2018	August 27, 2018 FEC Campaign Finance Law Article		
39	11/7/2018	Email from Agent Scherger to Agent Granozio		
40	11/7/2018	Email from Agent Scherger to Agent Granozio		
41	4/7/2019	Woodard, John and Elizabeth City, "Omitted Details Color Reporting on Donor Scandal", The Daily Advance		
42	4/28/2019	Form 990, Return of Organization Exempt from Income Tax filed by John Palermo		
43	5/25/2019	May 25, 2019 Form 990, Return of Organization Exempt from Income Tax filed by John Palermo		
44	8/14/2019	Sworn Statement of Alwanda Beane		
45	2/5/2020	Letter from Daily Advance		
46	2/17/2017	Email from L. Frazier to B. Stewart		

Ex. No.	Document Date	Description	Identified By	Admitted
47	1/27/2018 2:48 pm	January 27, 2018 Audio Recording and Transcript		
48	1/29/2018 2:38 pm	January 29, 2018 Audio Recording and Transcript		
49	1/30/2018 7:47 pm	January 30, 2018 Audio Recording and Transcript		
50	2/2/2018 3:10 pm	February 2, 2018 Audio Recording and Transcript		
51	2/2/2018 3:40 pm	February 2, 2018 Audio Recording and Transcript		
52	2/5/2018 8:13 pm	February 5, 2018 Audio Recording and Transcript		
53	2/9/2018 8:48 pm	February 9, 2018 Audio Recording and Transcript		
54	2/14/2018	February 14, 2018 Video Recording and Transcript		
55	2/15/2018 8:25 am	February 15, 2018 Audio Recording and Transcript		
56	2/24/2018 5:46 pm	February 24, 2018 Audio Recording and Transcript		
57	2/26/2018 8:46 pm	February 26, 2018 Audio Recording and Transcript		
58	2/26/2018	February 26, 2018 Video Recording and Transcript		
59	2/28/2018 4:33 pm	February 28, 2018 Audio Recording and Transcript		
60	3/5/2018	March 5, 2018 Video Recording and Transcript		
61	3/5/2018 5:15 pm	March 5, Audio Recording and Transcript		

Ex. No.	Document Date	Description	Identified By	Admitted
62	3/11/2018 3:48 pm	March 11, 2018 Audio Recording and Transcript		
63	3/15/2018	March 15, 2018 Video Recording and Transcript		
64	3/21/2018 2:56 pm	March 21, 2018 Audio Recording and Transcript		
65	3/27/2018	March 27, 2018 Video Recording and Transcript		
66	3/28/2018 9:06 pm	March 28, 2018 Audio Recording and Transcript		
67	3/29/2018 8:57 pm	March 29, 2019 Audio Recording and Transcript		
68	4/3/2018 8:33 pm	April 3, Audio Recording and Transcript		
69	4/5/2018 7:21 pm	April 5, 2018 Audio Recording and Transcript		
70	4/7/2018 11:43 am	April 7, 2018 Audio Recording and Transcript		
71	4/10/2018 5:39 pm	April 10, 2018 Audio Recording and Transcript		
72	4/14/2018 4:42 pm	April 14, 2018 Audio Recording and Transcript		
73	4/18/2018 8:21 am	April 18, 2018 Audio Recording and Transcript		
74	4/19/2018 4:51 pm	April 19, 2018 Audio Recording and Transcript		
75	4/19/2018	April 19, 2018 Video Recording and Transcript		
76	4/25/2018 8:50 pm	April 25, 2018 Audio Recording and Transcript		

Ex. No.	Document Date	Description	Identified By	Admitted
77	4/26/2018 7:45 pm	April 26, 2018 Audio Recording and Transcript		
78	4/30/2018 1:43 pm	April 30, 2018 Audio Recording and Transcript		
79	5/1/2018 5:04 pm	May 1, 2018 Audio Recording and Transcript		
80	5/1/2018 6:31 pm	May 1, 2018 Audio Recording and Transcript		
81	5/2/2018 4:14 pm	May 2, 2018 Audio Recording and Transcript		
82	5/2/2018 8:23pm	May 2, 2018 Audio Recording and Transcript		
83	5/2/2018	May 2, 2018 Video Recording and Transcript		
84	5/16/2018 5:17 pm	May 16, 2018 Audio Recording and Transcript		
85	5/16/2018	May 16, 2018 Video Recording and Transcript		
86	5/21/2018 6:09 pm	May 21, 2018 Audio Recording and Transcript		
87	5/21/2018 7:14 pm	May 21, 2018 Audio Recording and Transcript		
88	5/29/2018	May 29, 2018 Video Recording and Transcript		
89	6/19/2018 11:16 am	June 19, 2018 Audio Recording and Transcript		
90	7/9/2018 7:55 pm	July 9, 2018 Audio Recording and Transcript		
91	7/25/2018	July 25, 2018 Video Recording and Transcript		

Ex. No.	Document Date	Description	Identified By	Admitted
92	8/2/2018 11:09 am	August 2, 2018 Audio Recording and Transcript		
93		Lou Hensley's text message exchanges with Brian Stewart		
94		Lou Hensley's text message exchanges with Rod Perkins		
95		Lou Hensley's text message exchanges with Greg Lindberg		
96	11/19/2017	Email from L. Hensley to T. Edwards		
97	12/18/2017	Email from G. Lindberg to R. Martinez		
98	1/9/2018	Email from B. Stewart to S. Wicker		
99	2/5/2018	Email from S. Wicker to B. Stewart		
100	2015-2016	Department of Insurance Briefing Materials		
101	8/8/2018	Email from G. Lindberg to B. Stewart		
102	5/31/2017	Email from L. Belo to H. Kaplan		
103	1/4/2018	Email from M. Causey to M. Scherger		
104	2/17/2018	Email from M. Causey to M. Scherger		
105	3/27/2018	Email from M. Causey to M. Scherger		
106	4/4/2018	Email from M. Causey to M. Scherger		
107	4/7/2018	Email from M. Causey to M. Scherger		
108	4/30/2018	Email from M. Causey to M. Scherger		
109	5/2/2018	Email from M. Causey to M. Scherger		
110	6/2/2018	Email from M. Causey to M. Scherger		
111	6/3/2018	Email from M. Causey to M. Scherger		

Ex. No.	Document Date	Description	Identified By	Admitted
112	7/1/2018	Email from M. Causey to M. Scherger		
113		North Carolina General Statute § 58-2-132		
114	9/15/2016	Video: Mike Causey, NC Commissioner of Insurance, available at https://www.youtube.com/watch?v=M EUAKd5rLWc		

Respectfully submitted this 20th day of February, 2020.

s/ Brandon N. McCarthy
Brandon N. McCarthy (admitted *pro hac vice*)
Texas Bar No. 24027486
KATTEN MUCHIN ROSENMAN LLP
1717 Main Street, Suite 3750
Dallas, TX 75201
214-765-3600
brandon.mccarthy@katten.com

Jeffrey C. Grady
N.C. State Bar No. 32695
KATTEN MUCHIN ROSENMAN LLP
550 S. Tryon Street
Suite 2900
Charlotte, NC 28202-4213
704-444-2036
jeff.grady@katten.com

Counsel for Defendant Greg Lindberg

CERTIFICATE OF SERVICE

I hereby certify that on February 20, 2020, the foregoing document was e-filed via the CM/ECF system which will send notification to counsel of record.

Dated: February 20, 2020

Respectfully submitted,

/s/ Brandon McCarthy
Brandon McCarthy (admitted *pro hac vice*)
KATTEN MUCHIN ROSENMAN LLP
1717 Main Street, Suite 3750
Dallas, TX 75201
214-765-3600
brandon.mccarthy@katten.com

Counsel for Defendant Greg Lindberg